



Practitioner's Docket No. 2004DE303

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stefan Brand, et al.

Application No.: 10/591,573

Group No.:

Filed: September 1, 2006

For: Perhydropolysilazane-Coatings for Metal and Polymer Surfaces

Commissioner for Patent

P.O. Box 1450

Alexandria, VA 22313-1450

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO/SB/08A.
 - 1b. ☒ Copies of Information Disclosure Statement citations.
 - 1c. ☐ PCT Search Report
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2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,



Anthony A. Bisulca
Registration No. 40,913

CUSTOMER NO. 25,255

Clariant Corporation
Industrial Property Department
4000 Monroe Road
Charlotte, North Carolina 28205
Telephone: 704/331-7151
Facsimile: 704/331-7707



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Substitute for Form 1449/PTO

Complete if Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

Application Number

10/591,573

Filing Date

September 1, 2006

First Named Inventor

Stefan Brand, et al.

Art Unit

Examiner Name

Attorney Docket Number

2004DE303

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	20050279255	12/22/2005	Suzuki et al.	
	AB	20060246221	11/2/2006	Falk et al.	
	AC	20030164113	9/14/2003	Suzuki	
	AD	5,083,860	7/4/2000	Matsuo et al.	
	AE	6,329,487	12/11/2001	Abel et al.	
	AF	6,756,469	6/29/2004	Lukacs, III	
	AG	6,652,978	11/24/2003	Lukacs, et al.	
	AH	6,534,184	3/18/2003	Knasiak et al.	
	AI	5,032,649	7/16/1991	Schwark	
	AJ				
	AK				
	AL				
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	AP				
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	AR				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	BA	EP 0889091	3/3/1999	EPO	English	<input type="checkbox"/>
	BB	EP 0825231	2/25/1998	EPO	English	<input type="checkbox"/>
	BC	EP 0781815	7/2/1997	EPO	English	<input type="checkbox"/>
	BD	WO 02/088269	11/7/2002	WIPO	See AC above	<input type="checkbox"/>
	BE	EP 1321974	6/25/2003	EPO	English	<input type="checkbox"/>

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				Application Number	10/591,573
				Filing Date	September 1, 2006
				First Named Inventor	Stefan Brand, et al.
				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	2004DE303

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	U. S. Patent Application No. 10/585,392 by Dierdorf et al, filed 7/6/2006	<input type="checkbox"/>
	CB	U.S. Patent Application No. 10/591,623, by Brand et al., filed 9/1/2006	<input type="checkbox"/>
	CC	PCT International Search Report for PCT/EP2005/001827, mailed 5/12/2005	<input type="checkbox"/>
	CD		<input type="checkbox"/>
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